



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/669,396	09/23/2003	Christopher Lawrence Brealey	CA920030053US1	3959
75949	7590	01/21/2009	EXAMINER	
IBM CORPORATION			WU, QING YUAN	
C/O: RADER, FISHMAN & GRAUER PLLC			ART UNIT	PAPER NUMBER
10653 S. RIVER FRONT PKWY.			2194	
STE. 150				
SOUTH JORDAN, UT 84095				
MAIL DATE		DELIVERY MODE		
01/21/2009		PAPER		

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/669,396	BREALEY ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	Qing-Yuan Wu	2194	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 31 October 2008.
- 2a) This action is **FINAL**.                  2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-4,6-15 and 26 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1-4,6-15 and 26 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) All    b) Some \* c) None of:
1. Certified copies of the priority documents have been received.
  2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ .                                    |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ .  | 6) <input type="checkbox"/> Other: _____ .                        |

## **DETAILED ACTION**

1. Claims 1-4, 6-15 and 26 are pending in the application.

### ***Claim Objections***

2. Claim 26 is objected to because of the following informalities:

Claim 26 should be a computer readable-medium claim and not a computer system claim since dependency of claim 26 is changed to depend on claim 15. Appropriate correction is required.

### ***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 1-3, 15 and 26 are rejected under 35 U.S.C. 102(b) as being anticipated by Friedman et al. (hereafter Friedman) (“Problem Solving, Abstraction, and Design Using C++”).

5. As to claim 15, Friedman teaches a computer readable medium storing thereon computer executable instruction code, said code when executed by a processor of a computer causes said processor to [compile, link and execute program stored in memory, pgs. 9 and 27]:

execute a first module encapsulating a computer algorithm except at least one communication operation of said algorithm [member functions of Circle class, Fig. 11.8, pgs.

514-515]; and

execute a second module encapsulating said at least one communication operation of said algorithm, such that said at least one communication operation is available to said first module [driver function for inputting/setting various attributes of the circle and outputting corresponding result, Fig. 11.7, pg. 513], wherein said second module encapsulates at least one environment-dependent communication operation of said algorithm and is configured to communicate with a communicating partner [modification to the driver function such as soliciting user input or setting different input parameters to set circle functions, Fig. 11.7, pg. 513] (examiner's interpretation of the computer readable medium as including statutory medium such as a magnetic storage, optical storage, solid state storage, etc. only as suggested in applicant's specification [2005/0034097, paragraphs 22-23] and excluding all non-statutory storage such as carrier waves or signal).

6. As to claim 1, this claim is rejected for the same reason as claim 15 above. In addition, Friedman teaches instantiating at least one data object for encapsulating data communicated between said first module and a communicating partner, each one of said at least one data object being an instance of a data class, said data communicated between said first module and said communicating partner being accessible by said first module [my\_circle is an object of class circle instantiated in the driver function for passing parameters to member functions of class circle, Fig. 11.7, pg. 513].

7. As to claims 2-3, Friedman teaches wherein said at least one communication operation

comprises at least one environment-dependent communication operation of said algorithm and wherein said at least one environment-dependent communication operation comprises all environment-dependent communication operations of said algorithm [setting circle attributes, compute area and perimeter and display circle attributes, if changes need to be made to driver function, circle class would not be affected, Fig. 11.7, pg. 513] (since applicant's specification failed to specifically define nor preclude the limitation "environment-dependent communication operation" the examiner's interpretation of "environment-dependent communication operation" as any dependent relationship among software functions and classes).

8. As to claim 26, Friedman teaches wherein each one of said first and second module codes implements a common protocol so that said first and second module codes are compatible [calling member functions by passing data of the required data type, Fig. 11.7, pg. 513].

***Claim Rejections - 35 USC § 103***

9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

10. Claims 4 and 6-14 are rejected under 35 U.S.C. 103(a) as being unpatentable over Friedman as applied to claim 1 above.

11. As to claim 4, Friedman does not specifically teach executing a third module

Art Unit: 2194

encapsulating another communication operation of said algorithm. However, Friedman disclosed the advantages of using subordinate functions to separately implement a program [separately implementing draw\_circle, draw\_triangle and draw\_intersect, pgs. 113-119]. It would have been obvious for a person of ordinary skill in the art at the time the invention was made to separately implement a module to be executed as part of an algorithm given the importance of information hiding/encapsulation in application development and because of the ease of separately coding small parts of a large program in comparison to coding the entire program at once as being considered by Friedman [advantages of using functions, pg. 119].

12. As to claim 6, this claim is rejected for the same reason as claim 1 above. In addition, Friedman does not specifically teach wherein data from said first module is encapsulated in a first data object being an instance of a first data class. However, Friedman teaches communication among multiple classes [Figures program that includes the circle, square and rectangle classes, pg. 706]. It would have been obvious to one of ordinary skill in the art at the time the invention was made given if there are two way communications among the different classes of Friedman that data encapsulated in a data object to a respective module (function or class) would be an instance of an originating data class that is different in comparison to data encapsulated in a data object from the respective module in order for any communication to occur.

13. As to claim 7, Friedman teaches substantially wherein said second module comprises a communication object, said communication object being an instance of a communication class

Art Unit: 2194

[my\_circle is an object of class circle instantiated in the driver function, implementation of member function display\_circle, Figs. 11.7-11.8, pgs. 513-515].

14. As to claim 8, Friedman teaches substantially wherein said first module comprises a command object, said command object being an instance of a command class [my\_circle is an object of class circle instantiated in the driver function, calling member functions for setting attributes, Fig. 11.7, pg. 513].

15. As to claims 9-11, Friedman does not specifically teach the limitations as recited. However, communication among software modules (functions or classes) based on compatibility and governed by a set of protocol are nonetheless the basic principle of object-oriented programming, therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made having basic knowledge of object-oriented programming to program the various classes and communication among various classes specific to the problem that was intended to solve as being considered by Friedman [software development method, pgs. 19-23].

16. As to claims 12-14, these claims are rejected for the same reason as claim 7 above.

#### ***Response to Arguments***

17. Applicant's arguments filed 10/31/08 have been fully considered but they are not persuasive.

18. In the remarks, Applicant argued in substance that:

- a. Nowhere does Friedman teach or suggest the idea of separate modules encapsulating different portions of an algorithm.
- b. Friedman does not teach the limitation of claims 13-14.

19. Examiner respectfully traversed Applicant's remarks:

20. As to point (a), the examiner disagrees and submits that the driver function to test the class circle is an algorithm but not an entire algorithm itself as argued by applicant. As disclosed by Friedman, an algorithm is a list of steps to solve a problem [pg. 21, lines 25-26], in this case, if the problem to be solved is to output the circle attributes as indicated by the driver function, the algorithm would nonetheless include the steps of implementing the class circle and calling functions of the class circle by the driver function. In addition, since the driver function and the class circle are separately implemented, it clearly satisfied the limitation of having separate modules encapsulating different portions of an algorithm.

21. As to point (b), Friedman teaches outputting data from class circle member function to user and passing parameter (communicating data) from object my\_circle to various member functions (communication partner) to communication among multiple classes [Figs. 11.7-11.8; pg. 706] which clearly satisfied the limitations as required by claims 13-14.

22. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

23. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Qing-Yuan Wu whose telephone number is (571)272-3776. The examiner can normally be reached on 8:30am-6:00pm Monday-Thursday and alternate Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Meng Ai An can be reached on (571) 272-3756. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Li B. Zhen/  
Primary Examiner, Art Unit 2194

/Qing-Yuan Wu/  
Examiner, Art Unit 2194